



New York State Archives

**New York State Insurance Division Annual Financial Reports
and Expense Exhibits of Insurance Companies 14361**

New York State Archives
222 Madison Avenue
Albany, NY 12230
archref@nysed.gov
URL: <http://www.archives.nysed.gov/>

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Overview of the Records

Repository:	New York State Archives
Summary:	This series contains original audited annual financial statements and expense exhibits filed by all insurance companies licensed in New York State.
Creator:	New York (State). Insurance Division
Title:	Annual financial reports and expense exhibits of insurance companies
Quantity:	3680 cubic feet
Quantity:	297 35mm microfilm roll(s)
Inclusive Date:	1848-2016
Series:	14361

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Arrangement

Chronological by year, then by type of company, then alphabetical by name of company.

14361-04, 14361-19, 14361-23A: Unarranged.

14361-15, 14361-15B, 14361-16, 14361-20, 14361-22, 14361-23: Alphabetical.

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Scope and Content Note

14361-91A: This accretion consists of assessment cooperative statements for the Assessment Co-Operative Fire Company and NY Adv. Prem. Co-Operative.

14361-92D: This accretion consists of Welfare Fund reports for 1968 to 1972.

14361-97A: This accretion consists entirely of New York City Teachers Retirement schedules for 1992.

14361-97B: This accretion consists entirely of insurance company expense exhibits.

14361-97C: This accretion consists of 1993 "Non-Life" insurance company annual financial statements for the following types of companies: Property, Accredited Rein Prop. Pension, Hospitals, Title, HMOs, A&H, and Consolidated. Also included are the 1991 and 1992 financial statements of Assessment Co-op Fire companies and Advance Premium Co-op companies.

14361-98: This accretion consists of 1994 insurance company annual financial statements for the following types of companies: Property, Alien, Mortgage Guarantee, Financial Guarantee, Accredited Reinsurance (Property), Title, Hospitals, HMOs, Pension, Accident and Health, Consolidated, and Risk Based Capital.

14361-98A: This accretion consists almost entirely of reports of securities held by various New York City and State retirement systems. The reports were received from the Insurance Department's New York City office sometime after the bulk of the reports for those years were already accessioned.

14361-98B: This accretion consists of reports of securities held by various New York City Teachers' and New York State Employees' retirement systems. The reports were received from the Insurance Department's New York City office sometime after the bulk of the reports for those years were already accessioned.

14361-98C: This accretion contains schedules and attachments for the fiscal year ending June 30, 1992 for only the New York City Board of Education retirement system, Board of Education Employees' group life insurance plan, and the New York City Fire Department pension fund.

14361-98D: This accretion contains 1993 schedules for New York City Teacher Retirement System, Police Pension Fund Article-2, and New York State CRF retirement.

14361-98E: This accretion consists of New York City Fire Dept. Pension Fund Subchapter 2 schedules, Board of Education Retirement System schedules, Teachers Retirement schedules, and Firefighters Variable Supplemental Fund reports. All schedules are for the fiscal year ending June 30, 1994.

14361-98F: This accretion consists of scattered reports of life insurance companies and fraternal organizations for the years 1981, 1982, 1988, and 1989. Because the reports were transferred to the Archives after reports for those years had been received, accessioned, and microfilmed, only paper copies exist.

14361-98G: This accretion consists of annual statements of Advance Premium Co-operative Property Insurers and Assessment Co-operative Property Insurers (1987).

14361-98H: This accretion consists entirely of insurance company expense exhibits for the year 1994.

14361-99A: This accretion consists of annual statements of Advance Premium Co-operative Property Insurers and Assessment Co-operative Fire Property Insurers (1994 and 1995).

14351-99B: This accretion consists of the 1995 property and casualty ("Non-Life") insurance company annual financial statements for the following types of companies: property; mortgage guaranty; financial guaranty; acc rein-property; hospital, medical, dental: HMO; title; retirement; viatical; A&H; and consolidated.

14361-00: This accretion consists of the 1996 annual financial statements of Assessment Co-Op and New York Advance Premium Co-Op insurance companies.

14361-00A: This accretion consists of 1996 insurance company annual financial statements for the following types of companies: Property, Mortgage Guarantee, Financial Guarantee, Accredited Reinsurance, Title, Hospitals, HMOs, Pension/ Retirement, Accident and Health, Consolidated, and Viatical.

14361-02: This accretion consists of supplements to the original statements for 1997 (14361-01).

14361-02B: This accretion consists of consolidated annual statements of holding companies for the year 1994 and premium and fire cooperative insurance companies for the years 1997 and 1998.

14361-03: This accretion consists primarily of reports for non-life companies for the year 1999. A few reports for the years 1997 and 1998 are also included at the end of the series.

14361-03A: This accretion consists solely of Insurance Expense Exhibits for the years 1998 and 1999.

14361-03B: This accretion consists only of statements for Assessment Co-op Fire companies and Advance Premium Co-op companies for the year ending 1999.

14361-04: This accretion consists of reports of health insurance companies only. Most reports are for the year 1998.

14361-04A: This accretion consists of reports of property insurance companies. Audited statements date from 1996-1998. Annual financial reports date from 1994, 1998-1999.

14361-04B: This accretion consists of reports of health insurance companies only. Most reports are for the year 1998.

14361-04C: This accretion contains only annual statements from Lloyds of London for the years 1995-2003.

14361-04D: This accretion contains annual reports from the Property Bureau of advance premium companies and assessment cooperative fire companies.

14361-04E: This accretion consists of expense exhibits and supplements of property insurance companies for the year 2000.

14361-04F, 14361-04G: These accretion consists entirely of annual financial reports for risk-based capital companies for 1995; and 1996, 1998-1999.

14361-05: This accretion consists of reports for health insurance companies for 1999 and for risk-based companies for the years 2000-2002.

14361-05A: This accretion consists of statements of advance premium companies and assessment cooperative fire companies for the year 2001.

14361-05B: This accretion consists of Property and Casualty statements for the years 1997-2001 and expense statements for the year 2001.

14361-06: The bulk of this accretion consists of financial reports of property and casualty (non-life) insurance companies for the following types of companies: property, mortgage guaranty, financial guaranty, casualty and title dating between 2001 and 2002. It also contains a number of "late submissions" for a wide variety of companies that should have been filed between 1991 and 2001.

14361-06A: This accretion consists of reports of securities held by assorted retirement systems and pension funds for the years 2000-2001.

14361-06B: This accretion consists of expense exhibits and supplements of property insurance companies for the year 2002. The expense exhibits give a report of the types of claims that have been funded within a specific year.

14361-07A: This accretion consists of reports and exhibits of risk retention groups, 2000-2003; advance premium companies, 2002-2003; and assessment co-operative fire companies, 2002-2003.

14361-10: This accretion contains updated, amended, or supplemental reports dating from 1994-1996.

14361-11A: This accretion consists entirely of expense exhibits dating from 2003-2005.

14361-16: This accretion consists of "foreign" insurance companies, meaning companies whose corporate headquarters are located outside New York State.

14361-22: Domestic and alien accredited reinsurers annual statements from 2014.

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Related Information

Related Material

Series 18753 Annual Financial Statements of Life Insurance Companies contains related financial reports of life insurance companies.

Series 20148 Certified Public Accountant Reports contains contains audited insurance company annual financial statements and opinions prepared by independent certified public accountants (CPAs).

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Other Finding Aids

Available at Repository

14361-98F: Item list available at the repository.

14361-95, 14361-01: List of missing annual statements available at the repository.

14361-04C: Partial syndicate number list available at the repository.

14361-05B, 14361-13: Partial folder list available at the repository.

14361-07, 14361-07A, 14361-08, 14361-08A, 14361-08B, 14361-08C, 14361-10, 14361-10A, 14361-11, 14361-11A, 14361-12, 14361-12A, 14361-12B, 14361-13A, 14361-15, 14361-15A, 14361-15B, 14361-16, 14361-19, 14361-20, 14361-22: Folder lists are available at the repository.

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Use of Records

Access Restrictions

Series is partially restricted. State Archives staff will review requests for specific documents and disclose in accordance with New York State Freedom of Information Law (FOIL).

Alternate Formats AvailableAlternate Formats Available

Microfilm is available for accretions 14361-88, 14361-89 (1982 reports only), 14361-92B, and 14361-93.

Administrative Information

Custodial History

Some records from accretions 14361-94A (24 boxes) and 14361-95 suffered severe water damage from a flood in July 1995. Approximately 133 cubic feet of records were authorized for destruction by RDA 19727 and subsequently destroyed.

In a multi-year project, accretions 14361-88, 14361-89 (part), 14361-92B, and 14361-93 were filmed by Archives staff. Paper originals were destroyed after microfilming.

14361-99: At time of accessioning in 1999, five boxes of the original transfer of this accretion were missing and presumed lost.

14361-91A: This accretion was transferred to the New York State Archives in 1991 and was mistakenly assigned an incorrect accretion number. It was correctly identified and accessioned in 2008.

Acquisition Information

This series includes records from the following transfer lists: 730001; 740001; 880007 and 950001; 890006 and 890003; 900001; 710028, 750002, 750032, 750016, 770002, 780007, 790010, 790011, 800009, 800010, 800018, 800019, 800020, 800021, 800022, and 800023; 750013; 860006, 840009, 840008, 820013, 820012, 810020, 860007, and 750033; and 000019.

14361-15A: This accretion was transferred under records disposition number 17452.

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Access Terms

- Monitoring
- Microfilms
- Insurance companies
- Insurance--State supervision
- New York (State)

- Regulating insurance
- Insurance
- Profit and loss statements
- New York (State). Insurance Department
- New York (State). Department of Financial Services