



New York State Archives

**New York State Department of Law Investor Protection
and Securities Unit Investigations and Court Proceedings
Concerning Theatrical Financing 20475**

New York State Archives
222 Madison Avenue
Albany, NY 12230
archref@nysed.gov
URL: <http://www.archives.nysed.gov/>

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Overview of the Records

Repository:	New York State Archives
Summary:	The attorney general has the authority to prosecute alleged violations of the "Theatrical Syndication Financing Act" in order to "safeguard theatrical investors as well as to maintain the well being of the legitimate theater." The series consists of related Department of Law-initiated investigative reports and supporting materials, copies of hearing testimony, applicable court proceeding records, correspondence, and other associated materials.
Creator:	New York (State). Department of Law. Investor Protection and Securities Unit
Title:	Investigations and court proceedings concerning theatrical financing
Quantity:	217 cubic feet
Inclusive Date:	1963-1964, 1973, 1982-1988, 1994-2002
Bulk Date:	bulk 1982-2002
Series:	20475

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Arrangement

20475-09, 20475-09A: Chronological, then alphabetical by name of company.

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Administrative History

Under provisions of Article 26-A of the General Business Law (Chapter 728 of Laws of 1964) the attorney general is empowered to review and approve the offering literature and financial reports of legitimate theater productions, which are typically financed through the sale of limited partnership interests. In addition, the attorney general has the authority to prosecute alleged violations of this "Theatrical Syndication Financing Act" in order to "safeguard theatrical investors as well as to maintain the well being of the legitimate theater."

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Scope and Content Note

The series consists of Department of Law-initiated investigative reports and supporting materials, copies of hearing testimony, applicable court proceeding records, correspondence, and other associated materials.

20475-00: This accretion documents the attorney general's 1963-1964 investigation of the "scalping" of Broadway theater tickets by ticket brokers. Records consist almost exclusively of copies of hearing examination testimony, copies of related subpoenas, and correspondence.

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Other Finding Aids

Available at Repository

20475-09: Container list is available at the repository.

20475-09A: Folder list is available at the repository.

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Use of Records

Access Restrictions

There are no restrictions regarding access to or use of this material.

Administrative Information

Acquisition Information

20475-11: This accretion was transferred to the State Archives under records disposition number 21149.

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Access Terms

- Testimony
- Syndicates (Finance)
- Ticket brokerage
- Theater--Finance
- Broadway (New York, N.Y.)
- Reports
- Securities
- Investigating ticket brokers
- Transcripts
- Investments--Law and legislation
- Theater--Law and legislation--New York (State)
- New York (State)
- Protecting investments