



New York State Archives

**New York State Commission to Study, Examine and
Investigate State Agencies in Relation to Pari-Mutuel Harness
Racing Stockholder Questionnaire Files B1319**

New York State Archives
222 Madison Avenue
Albany, NY 12230
archref@nysed.gov
URL: <http://www.archives.nysed.gov/>

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Overview of the Records

Repository:	New York State Archives
Summary:	The commission collected these completed stockholder questionnaires in order to compile information on stock and bond holdings and transactions in harness racing associations. The questionnaires require detailed information about individuals' acquisition of, income from, and transfer of stock and bonds in harness racing licensees, lessors, concessionaires, and contractors.
Creator:	New York (State). Commission to Study, Examine and Investigate State Agencies in Relation to Pari-Mutuel Harness Racing
Title:	Commission to Study, Examine and Investigate State Agencies in Relation to Pari-Mutuel Harness Racing stockholder questionnaire files
Quantity:	6 cubic feet
Inclusive Date:	1953-1954
Series:	B1319

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Arrangement

Alphabetical by last name of respondent.

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Scope and Content Note

The Commission collected these completed stockholder questionnaires in order to compile information on stock and bond holdings and transactions in harness racing associations. The questionnaires require detailed information about individuals' acquisition of, income from, and transfer of stock and bonds in harness racing licensees, lessors, concessionaires, and contractors.

Information required on these questionnaires includes: name; home and business address; if a stockholder or bondholder in any licensee, lessor, former owner, concessionaire, or contractor: amount of stock held, classes and preferences of stock held, amount of bonds held, interest rates and maturity dates of bonds held, whether bonds are registered or bearer bonds, date(s) of acquisition of stock or bonds, names and addresses of those from whom stock or bonds were acquired, consideration furnished for such stock or bonds, names and addresses of persons who furnished such consideration if not the respondent, date(s) of resale, transfer, or other alienation, names and addresses of person(s) to whom stock or bonds were resold, transferred, or otherwise alienated, amount, classes, and preferences of stock resold, transferred, or otherwise alienated, amount, interest rate, and maturity dates of bonds resold, transferred, or otherwise alienated, and whether registered or bearer bonds, consideration received for stock or bonds on resale, transfer, or other alienation, or if a gift, the reason therefor, names and addresses of persons who furnished such consideration, whether respondent or the person to whom stock or bonds were sold, transferred, or otherwise alienated was the beneficial owner, and if not the name and address of the beneficial owner, whether respondent or the person to whom stock or bonds were sold, transferred, or otherwise alienated was the beneficial owner of stock or bonds listed in any other person's name, and if so the listed owner's name and address, and the dividends or interest received by respondent, by listed owner in respondent's behalf, or by respondent on behalf of a beneficial owner, from each such corporation whose stock or bonds were held by respondent for each class of such stock.

The series also contains lists of stockholders in harness racing associations.

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Related Information

Related Material

B1327Series B1327, Card Indexes to Harness Racing Associations and Stockholders, Witnesses, and Commission Records and Staff, indexes this series.

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Other Finding Aids

Available at Repository

Container list is available at the repository.

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Use of Records

Access Restrictions

All investigative materials in Moreland Act commission records are indefinitely restricted pursuant to Executive Law sect. 6 and 63.8 and Civil Rights Law sect. 73.8. Requests for access to such materials are referred to the Governor's Office.

Access Terms

- Harness racing--State supervision
- Questionnaires
- Harness racing--New York (State)
- Governmental investigations
- New York (State)
- Investigating
- New York (State). Executive Department