New York State Division of the Budget General Subject Files
16520
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Overview of the Records

Repository: New York State Archives

Summary: The series includes memoranda, correspondence, reports, surveys, studies, and issue papers received or produced by Division of the Budget executive offices and examination units. The files document the Division's oversight of agency programs, policies, and expenditures. Significant issues documented include local government programs and aid, closing of medical care facilities, affirmative action, civil service reform, worker's compensation, cost controls, constitutional reform, racing and wagering, radioactive waste siting, and paperwork reduction.

Creator: New York (State). Division of the Budget

Title: Division of the Budget general subject files

Quantity: 878.6 cubic feet

Inclusive Date: 1941-1999

Series: 16520

Arrangement

Arranged by agency or subject.

16520-97: Arranged by name of commission or authority.

16520-99: Arranged by subject in no discernible order.

16520-01: Arranged by program unit.

16520-13: No obvious arrangement.

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Administrative History

16520-95: Vincent Edward LaFleche began work for the Division of the Budget in 1961 as an administrative trainee. He advanced through the ranks to become a deputy chief budget examiner, the position he occupied when he left state service in 1995.

Scope and Content Note

The series consists of records including memoranda, correspondence, reports, surveys, studies, and issue papers that were received or produced by Division of the Budget executive offices and examination units, concerning the Division’s monitoring and review of state agency fiscal and other operations. The files document state agency policies and procedures and Division of the Budget oversight of agency programs, policies, and expenditures.

16520-92: The bulk of the records in this accretion concern the following organizations/agencies: Council on State Government; Governor’s Conference; National Association of State Budget Officers (NASBO); Department of Health; and Office of Mental Retardation. Significant issues covered by this accretion include: rate setting (especially for private care of handicapped children); indirect cost proposals and payment of services (POS); local government programs and aid; and licensing, administering, and closing of medical care facilities.

16520-95: This accretion consists of the files of Vincent LaFleche, deputy chief budget examiner. The records span thirty years of his career, during which he prepared many major studies for the Division of the Budget and for the Governor’s Office of Management and Productivity; investigated issues that arose in budget proceedings for the budget director and the executive chamber, and did internal and external management consulting for the executive chamber. Some of the earliest records (1976) document the transition to the job held by his predecessor and supervisor, Bob Kerker.

16520-95: Projects and issues documented in the files include: affirmative action, civil service reform, worker’s compensation, cost controls, constitutional reform, racing and wagering, radioactive waste siting, agency records management, development of information systems and use of computer technology (such as GIS and virtual reality), paperwork reduction, and audits of state agencies (e.g. Mental Hygiene, Motor Vehicles, Tax and Finance) to increase productivity and efficiency.

16520-95A: The bulk of this accretion consists of records relating to the funding of hospital building expansion/renovation; and of the Special Deputy Comptroller’s reports relating to the New York City financial crisis of the 1970s and 1980s.


16520-96B: This accretion consists of memos, proposals, drafts and reports related to the transfer of the Medicaid audit function from the Department of Health to the Department of Social Services and to the settlement of reimbursement rates with the New York City Health and Hospital Corporation. Also included are legal documents related to the Article 78 proceeding brought by Frederick J. Parket (Director of the Bureau of Audit and Investigation, Department of Health) et.al. against New York State to block the transfer of the Medicaid audit function.

16520-97: This accretion consists of a variety of reports, letters, memos, meeting minutes and data relating to a number of small, diverse authorities and commissions.

16520-99: This accretion consists of general subject files maintained by the division’s Executive Office. Significant issues/subjects covered by this accretion include: the Ogdensburg Bridge and Port Authority; the Inter-departmental Health Planning Commission; state fiscal reports; tallies of various state revenue collections; and the Insurance Liability Security Funds court case. The files also contain monthly reports, year-end statements, issue and analysis papers, and state economic forecasts.

16520-01: This accretion contains a variety of records documenting fiscal aspects of such issues as budget formulation, provision of health care, tax waivers, litigation, provider specific taxes, environmental quality bond acts, construction of and energy conservation in state buildings, Medicaid rates, state layoffs, and development of computer systems. Types of records contained in this accretion include financial statements, capital construction records, audits, rate appeals, briefings, and court records. A wide variety of agencies and companies are documented.

16520-14: This accretion consists of information on a variety of special studies issues. Some of the files relate to the New York State Department of Correctional Services, criminal justice issues, public safety, and law enforcement.

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Related Information

Related Material

B1345 Series B1345, Subject Heading Index to General Subject Files, is a card index to this series for ca. 1940-1984

16526 Series 16526, United States government files and

16525 Series 16525, Division of the Budget local government files, contain related records.

Other Finding Aids

Available at Repository

16520-92, 16520-92A, 16520-95, 16520-95A, 16520-96A, 16520-99, 16520-01, 16520-16: Container list is available at the repository.

16520-96B, 16520-97, 16520-14: Folder list is available at the repository.

16520-13, 16520-14A: Partial folder list is available at the repository.

Use of Records

Access Restrictions

There are no restrictions regarding access to or use of this material.
Administrative Information

Acquisition Information

16520-99: This accretion includes records from transfer lists 810026, 810038, 810057, 810084, 810036, 810034, 810089, 810056, 810082, 810010, 810009, 820010, 810094, 820009, 810027, 810075, 820013, 820014, 950004, 950002, 810028, 810040, 810054, 810042, 810043, 810060, 810052, 840006, 840008, 810063, 810091, 810090, 810031, 810053, 820016, 820017, 970006, 900001, 830002, 950001, and 920002.

16520-01: This accretion includes records from transfer lists 000011, 000013, 000014, and 000015.

16520-13: This accretion includes records from transfer lists 950013, 960001, 960004, 960006, 960010, 98004A, 810035, 970001, 000006, 970007, 000007, 000020, 000008, 000009, 000019, 950015, 950005, 960013, 810034, 820017, and 970006.

16520-14: This accretion includes records from transfer list 980005.

16520-14A: This accretion was transferred under records disposition number 13126, and includes records from transfer lists 810009, 810010, 810026, 810027, 810028, 810031, 810034, 810036, 810038, 810040, 810042, 810043, 810052, 810053, 810054, 810056, 810057, 810060, 810063, 810075, 810082, 810084, 810089, 810090, 810091, 810094, 820009, 820010, 820013, 820014, 820016, 820017, 830002, 840006, 840008, 900001, 920002, 950001, 950002, 950004, 950012, 950016, 950019, 970008, and 970006.

16520-16: This accretion includes records from transfer list 980001.

16520-19: This accretion includes records from transfer list 950001, 950002, and 000011.

Access Terms

- Municipal budgets
- Finance, Public--New York (State)
- Financial statements
- Budget--New York (State)
- Medicaid--New York (State)
- Funding buildings
- New York (State)
- New York (N.Y.)
- Hospitals--Finance
- Legal documents
- Auditing
- Budgeting
- Reports
- Expenditures, Public
- Law enforcement--New York (State)
- New York City Health and Hospitals Corporation
- Parker, Frederick J.
- New York (State). Executive Department
- LaFleche, Vincent Edward.